

FACTS

WHAT DOES BANORTE WEALTH MANAGEMENT DO WITH YOUR PERSONAL INFORMATION?

Why?

Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.

What?

The types of personal information we collect, and share depend on the product or service you have with us. This information can include:

- Social Security Number and Investment Experience
- Income and Risk Tolerance
- Assets and Account Transactions

How?

All financial companies need to share customer's personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customer's personal information; the reasons **Banorte Wealth Management** chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information	Does Banorte Wealth Management Share?	Can you limit this sharing?
For our everyday business purposes — such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	YES	NO
For our marketing purposes — to offer our products and services to you	YES	YES
For joint marketing with other financial companies	NO	We don't share
For our affiliates' everyday business purposes — information about your transactions and experiences	YES	YES
For our affiliates' everyday business purposes — information about your creditworthiness	NO	We don't share
For our affiliates to market to you	YES	YES
For nonaffiliates to market to you	NO	We don't share

To Limit our Sharing

- Call 713-980-4600 - our menu will prompt you through your choice(s) **or**
- Visit us online: www.banortewealthmanagement.com/disclosure/

Please Note:

If you are a *new* customer, we can begin sharing your information **2** days from the day we sent this notice. When you are *no longer* our customer, we continue to share your information as described in this notice.

However, you can contact us at any time to limit our sharing.

Questions?

Call 713-980-4600 or email privacy@banorteusa.com

Who we are

Who is providing this notice?	Banorte Wealth Management, the corporate brand of Banorte Securities International Ltd., Banorte Asset Management, Inc., Banorte Enterprises Ltd., Banorte Ventures Ltd., and Banorte Securities Holding International Inc.
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What we do

How does Banorte Wealth Management protect my information?	To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.
How does Banorte Wealth Management collect my personal information?	<p>We collect your personal information, for example, when you</p> <ul style="list-style-type: none"> • Open an account or give us your contact information • Make a wire transfer or show your government ID • Enter into an investment advisory contract <p>We also collect your personal information from others, such as affiliates or other companies.</p>
Why can't I limit all sharing?	<p>Federal law gives you the right to limit only</p> <ul style="list-style-type: none"> • sharing for affiliates' everyday business purposes—information about your creditworthiness • affiliates from using your information to market to you • sharing for nonaffiliates to market to you <p>State laws and individual companies may give you additional rights to limit sharing.</p>
What happens when I limit sharing for an account, I hold jointly with someone else?	Your choices will apply to everyone on your account.

Definitions

Affiliates	<p>Companies located in the United States related by common ownership or control. They can be financial and non-financial companies.</p> <ul style="list-style-type: none"> • <i>Servicio Uniteller Inc.</i>
Nonaffiliates	<p>Companies not related by common ownership or control. They can be financial and non-financial companies.</p> <ul style="list-style-type: none"> • <i>Banorte Wealth Management does not share with non-affiliated financial companies for marketing purposes.</i>
Joint Marketing	<p>A formal agreement between non-affiliated financial companies that together market financial products or services to you.</p> <ul style="list-style-type: none"> • <i>Banorte Wealth Management does not engage in joint marketing agreements with nonaffiliated financial companies.</i>

Other Important Information

This notice applies only to affiliates of Banorte Wealth Management located in the United States. Banorte Wealth Management serves as the corporate brand for Banorte Securities International Ltd., Banorte Asset Management, Inc., Banorte Enterprises Ltd., Banorte Ventures Ltd., and Banorte Securities Holdings International Inc., all of which are affiliates and ultimate subsidiaries of Grupo Financiero Banorte S.A.B. de C.V.

For California Residents

Under CCPA (California Consumer Privacy Act, 2018), California residents have the right to know the categories and pieces of information we have collected, disclosed, or used in the past twelve months and may have the following rights:

Access	You may have the right to access your personal data collected by the Firm.
Verification	We may require you to verify your identity before you can submit a rights request. If we cannot confirm your identity, we may refuse your request.
Right to Know	You have the right to know the categories of personal information, sources and third parties processing your personal information.
Request a copy of your personal information	You may request a copy of your personal information collected by the Firm.
Correct your personal information	You can request that we edit or correct your personal information anytime.
Deletion	Under certain circumstances, you may have the right to request that we delete the personal information you have provided.
Limit information sharing	You have the right to limit the sharing of your personal information.
Non-discrimination	You will not be discriminated against because of the exercise of any of your California privacy rights.